

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

U.S. PATENT APPLICATIONS

Examiner Initials*	Cite No.	Document Number	Filing Date	Name of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1				
	2				

U.S. PATENTS

Examiner Initials*	Cite No.	Patent Number	Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	3	6356920	12-Mar-2002	Vandersluis	
	4	6449638	10-Sep-2002	Wecker	
	5	6351748	26-Feb-2002	Deen	
	6	6351748	11-Mar-2003	Martin	
	7	6507865	14-Jan-2003	Hanson	
	8	6523063	18-Feb-2003	Miller	
	9	6505233	7-Jan-2003	Hanson	
	10	6546419	8-Apr-2003	Humpleman	
	11	6496849	17-Dec-2002	Hanson	
	12	6466971	15-Oct-2002	Humpleman	
	13	6446113	3-Sep-2002	Ozzie	
	14	6393456	21-May-2002	Ambler	
	15	6209124	12-Mar-2001	Vermeire	
	16	6477580	5-Nov-2002	Bowman-Amuah	
	17	6990585	24-Jan-2006	Maruyama	
	18	5903882	11-May-1999	Asay	
	19	5438508	1-Aug-2005	Wyman	
	20	6782414	24-Aug-2004	Xue	
	21	6751562	15-Jun-2004	Blackett	
	22	6615258	2-Sep-2003	Barry	
	23	6601189	29-Jul-2003	Edwards	
	24	6122363	19-Sep-2000	Friedlander	
	25	5974416	26-Oct-1999	Anand	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

26	6970935	29-Nov-2005	Maes	
27	6047324	4-Apr-2000	Ford	
28	5499343	12-Mar-1996	Pettus	
29	5067104	19-Nov-1991	Krishnakumar	
30	5509000	16-Apr-1996	Oberlander	
31	5608551	4-Mar-1997	Biles	
32	6233619	15-May-2001	Marisi	
33	6667974	23-Dec-2003	Shigeta	
34	5224098	29-Jun-1993	Bird	
35	5680551	21-Oct-1997	Martino	
36	6453356	17-Sep-2002	Sheard	
37	6748453	8-Jun-2004	Law	
38	5935219	10-Aug-1999	Holmes	
39	6233619	1-May-2001	Narisi	
40	6026441	15-Feb-2000	Ronen	
41	6728767	27-Apr-2004	Day	
42	6763040	13-Jul-2004	Hite	
43	6789118	7-Sep-2004	Rao	
44	5978836	2-Nov-1999	Ouchi	
45	6675261	6-Jan-2004	Shandony	
46	6304913	16-Oct-2001	Rune	
47	6199112	6-Mar-2001	Wilson	
48	6505254	7-Jan-2003	Johnson	
49	6578066	10-Jun-2003	Logan	
50	6601171	29-Jul-2003	Carter	
51	6891953	10-May-2005	DeMello	
52	6742114	25-May-2004	Carter	
53	6216231	10-Apr-2001	Stubblebine	
54	6654344	25-Nov-2003	Toporek	
55	6405337	11-Jun-2002	Grohn	
56	5862411	19-Jan-1999	Kay	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

57	4953210	28-Aug-1990	McGlynn	
58	6724726	20-Apr-2004	Coudreuse	
59	6618825	9-Sep-2003	Shaw	
60	6532213	11-Mar-2003	Chiussi	
61	7127511	24-Oct-2006	Tonouchi	
62	6507823	14-Jan-2003	Nel	
63	7409367	5-Aug-2008	McGill	
64	6522631	18-Feb-2003	Rosborough	
65	6144961	7-Nov-2000	De La Salle	
66	6571236	27-May-2003	Ruppelt	
67	6850893	1-Feb-2005	Lipkin	
68	6851054	1-Feb-2005	Wheeler	
69	6928442	9-Aug-2005	Farber	
70	7051339	23-May-2006	Deverill	
71	6745197	1-Jun-2004	McDonald	
72	7055143	30-May-2006	Ringseth	
73	6782542	24-Aug-2004	Mein	
74	7065706	20-Jun-2006	Sankar	
75	6873975	25-Mar-2005	Hatakeyama	
76	6665657	16-Dec-2003	Dibachi	
77	6405212	11-Jun-2002	Samu	
78	6487552	26-Nov-2002	Lei et al.	
79	6073242	6-Jun-2000	Hardy	
80	7035854	25-Apr-2006	Hsaio	
81	6779004	17-Aug-2004	Zintel	
82	6801528	5-Oct-2004	Nassar	
83	6581060	17-Jun-2003	Choy	
84	7181731	20-Feb-2007	Pace	
85	6405212	11-Jun-2002	Samu	
86	6392997	21-May-2002	Chen	
87	6748380	8-Jun-2004	Poole et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

88

7574575

11-Aug-2009

Ogasawara et al.

U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initials*	Cite No.	Publication Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	89	2002-0138582	26-Sep-2002	Chandra	
	90	2002-0169781	14-Nov-2002	Poole	
	91	2003-0041178	27-Feb-2003	Brouk	
	92	2002-0188638	12-Dec-2002	Hamscher	
	93	2002-0157004	24-Oct-2002	Smith	
	94	2003-0093678	15-May-2003	Bowe	
	95	2005-0138353	23-Jun-2005	Spies	
	96	2008-0141028	12-Jun-2008	Wei	
	97	2002-0143984	3-Oct-2002	Hudson	
	98	2002-0078233	20-Jun-2002	Brillis	
	99	2003-0065942	3-Apr-2003	Lineman	
	100	2003-0159059	21-Aug-2003	Rodriguez	
	101	2002-0126701	12-Sep-2002	Requena	
	102	2002-0152214	17-Oct-2002	Muntz	
	103	2001-0009018	19-Jul-2001	Iizuka	
	104	2002-0178103	28-Nov-2002	Dan	
	105	2002-0002581	3-Jan-2002	Siddiqui	
	106	2002-0174178	2-Jan-2007	Stawikowski	
	107	2003-0120593	26-Jun-2003	Bansal	
	108	2002-0184319	5-Dec-2002	Willner	
	109	2003-0050966	13-Mar-2003	Dutta	
	110	2008-0263166	23-Oct-2008	Beigi	
	111	2003-0074367	17-Apr-2003	Kaler	
	112	2004-0034715	19-Feb-2004	Dawson et al.	
	113	2009-0282457	12-Nov-2009	Govindavajhala	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Country Code	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ¹
	114	WO 0158108	WO	9-Aug-2001			<input type="checkbox"/>
	115	WO 0042748	WO	20-Jul-2000			<input type="checkbox"/>
	116	EP 1003308	EP	24-May-2000			<input type="checkbox"/>
	117	WO 2007073609	WO	5-Jul-2007			<input type="checkbox"/>
	118	WO 9937066	WO	22-Jul-1999	Bright Light Technologies		
	119	WO 9534972	WO	21-Dec-1995	Motorola Inc.		
	120	JP 2000-516406	JP	5-Dec-2000			
	121	WO 2000/04458	WO	27-Jan-2000			
	122	JP 7141296	JP	2-Jun-1995			
	123	JP 2000253066	JP	14-Sep-2000			
	124	WO 98/54644	WO	3-Dec-1998			
	125	JP 2002507295	JP	3-May-2002			
	126	JP 11328033	JP	30-Nov-1999			
	127	JP 11204471	JP	19-Jul-1999			
	128	JP 2000-083049	JP	21-Mar-2000			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T ¹
	129	SOAP Security Extensions: Digital Signature, W3C NOTE 06 February 2001, http://www.w3.org/TR/2001/NOTE-SOAP-dsig-20010206/	<input type="checkbox"/>
	130	IP Encapsulating Security Payload (ESP), Ipsec Working Group, Internet Draft, Draft-ietf-ipsec-esp-v3-03.txt, Expires January 2003, S. Kent, BBN Technologies, July 2002	<input type="checkbox"/>
	131	Mark Bartel et al., "XML – Signature Syntax and Processing", August 20, 2001, 60 pages, http://www.w3.org/TR/2001/PR-xmldsig-core-20010820/ ,	<input type="checkbox"/>
	132	An Introduction to XML Digital Signatures, Simon et al., http://www.xml.com/pub/a/2001/08/08/xmldsig.html	
	133	Kees Leune, Mike Papazoglou, Willem-Jan Van Den Heuvel, "Specification and Querying of Security Constraintss in the EFSOC Framwork", November 2004, ICSC '04: Proceedings of the 2nd International Conference on Service Oriented Computing, pp. 125-133	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

134	Rotzal, Peter H., "X 400 Message Handling System: The Remote User Agent," Proceedings of the Military Communications Conference (MILCOM), 06/11/95, Vol. 1, pages 433-437.	
135	European Search Report dated 06/28/06 (02023016.5), 6 pages.	
136	Mourad, A. et al., "Scalable Web Server Architectures"; Proceeding IEEE International Symposium on Computer and Communications; July 1, 1999; pp. 12-16; WP000199852	
137	Nikkei Network, No. 17, September 2001, pp. 94-97.	
138	"Windows NT TCP/IP Networking 9. DNS (Domain Name System)", Let's Start with TCP/IP, December 31, 2000, pp. 156-159.	
139	"Preliminary Knowledge for Managing a Website, Basic Knowledge of Domain Name," Basics of Creating a Website Which Can Be Understood With the Help of Illustration, August 31, 2000, pp. 179-185	
140	Nikkei Network, No. 4, August 2000, pp. 104-112	
141	Nikkei Byte, No. 211, December 2000, pp. 176-181.	
142	Nikkei Communications, No. 355, February 5, 2001, pp. 106-113	
143	Nikkei Communications, No. 340, April 16, 2001, pp. 216-217.	
144	CCIE Fundamentals: Network Design and Case Studies, Second Edition, By Cisco Systems, Inc. Publisher: Cisco Press, Publication Date: October 19, 1999, Print ISBN - 10: 1-57870-167-8	
145	European Search Report - Application No. 02023017 - October 6, 2005	
146	Xinghuo Yu; Zhihong Man; "Finite *time* *output* Tracking Problem with Terminal Sliding Mode Control," Computational Engineering in Systems Applications, Part Vol. 1, pp. 28-31, Vol. 1, Publisher: Gerf EC Lille - Cite Scientifique, Lille France,	
147	Edge, S.W. "An Adaptive *timeout* Algorithm for Retransmission Across a Packet Switching Network", Computer Communication Review, Vol. 14, No. 2, pp. 248-255, Published in USA, June 1984	
148	Wallstrom, Bengt, "Queueing System with *Time*-*Outs* and Random Departures", Ericsson Technics, v 33 n 2 1977, pp. 151-174	
149	11. Using Dublin Core, issued Jul. 16, 2000 by Diane Hillmann, pp. 1-10	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

150	Structured Graph Format: XML Metadata for describing website structure, Liechi et al. pp. 11-21, Issued 1998	
151	Samjani, "Mobile Internet Protocol", IEEE Potentials, Vol. 20, No. 1, Feb-Mar. 2001, pp. 16-18	
152	"IP Routing Policies and Filters", printed from http://support.baynetworks.com/library/pubs/html/switches/bstream/115401A/L_17.htm on Sep. 26, 2002.	
153	K. Swaminathan, "Negotiated Access Control", Proceedings of the 1985 Symposium on Security and Privacy: Apr. 22-24, 1985, pp.190-196	
154	W. LeFebvre, "Permissions and Access Control Lists", Performance Computing, Vol. 16, No. 11, Oct. 1998, pp. 59-61	
155	B. Dunkel et al., "Customized Metadata for Internet Information", 1997 First International Conference on Knowledge-Based Intelligent Electronic Systems: Proceedings, Vol. 2, May 21-23, 1997, pp. 508-516	
156	U. Srinivasan et al., "Managing Heterogeneous Information Systems through Discovery and Retrieval of Generic Concepts", Journal of the American Society for Information Science, Vol. 51, No.8, June 2000, pp. 707-723.	
157	J. Martinez et al., "MPEG-7 the Generic Multimedia Content Description Standard, Part 1", Vol. 9, No. 2, Apr-Jun 2002, pp. 78-87.	
158	C. Süß et al., "Meta-modeling for Web-Based Teachware Management", Advance in Conceptual Modeling: ER '99 Workshops on Evolution and Change in Data Management, Reverse Engineering in Information Systems, and the World Wide Web and Conceptual Modeling, 1999, 360-373	
159	K. Lang et al., "XML, Metadata and efficient Knowledge Discovery", Knowledge-Based Systems, Vol. 13, no. 5, Oct. 2000, pp. 321-331	
160	T. Baker, "A Multilingual Registry for Dublin Core Elements and Qualifiers", ZfBB 47, 2000, pp. 14-19	
161	J. Moy, OSPF Version 2, Networking Working Group, RFC 2328, Ascend Communications, Inc., Apr 1998, pp. 1-204	
162	J. Moy, OSPF Version 2, Networking Working Group, RFC 1247, Proteon, Inc., Jul 1991, pp. 1-177	
163	G. Bull et al., "Access Control Lists", printed from http://curry.edschool.virginia.edu/go/spinning/ACL_Permissions.html on September 18, 2002, 5 pages	
164	Security in JDK 1.1, Access Control Abstractions, May 2, 1997, printed from http://java.sun.com/products/jdk/1.1/docs/guide/security/Acl.html , on September 18, 2002, 4 pages	
165	T. Saito, et al. "Privacy Enhanced Access Control by SPKI", IEEE, 2000, pp. 301-306	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

166	G. Fernandez et al., "Extending the UNIX Protection Model with Access Control Lists", Proceedings of the Summer 1998 USENIX Conference, June 20-24, 1998, pp. 119-132	
167	A. Chander et al., "A State-Transition Model of Trust Management And Access Control", Proceedings 14th IEEE Computer Security Foundations Workshop, June 11-13, 2001, pp. 27-43	
168	D. Wichers et al., "PACL's: An Access Control List Approach to Anti-Viral Security", Information Systems Security: Standards - The Key to the Future: 13th National Computer Security Conference, October 1-4, 1990, pp. 340-349	
169	M. Benantar et al., "Use of DSOM Before/After Metaclass for Enabling Object Access Control", IRP/IEEE International Conference On Distributed Platforms: Client/Server And Beyond, 1996, pp. 73-85	
170	M. Koch et al., "Conflict Detection and Resolution in Access Control Policy Specifications", FOSSACS 2002, pp. 223-237	
171	M. Calbucci, "Windows 2000 Security Descriptors", Dr. Dobb's Journal, Vol. 25, No. 11, November 2000, pp. 57-58,60,63,66	
172	J. Hwang, et al., "Access control with role attribute certificates", Computer Standards and Interfaces, Vol. 22, No. 1, March 2000, pp.43-53	
173	J. Qian, et al., "ACLA: A Framework for Access Control List (ACL) Analysis and Optimization", IFIP TC6/TC11 Joint Working Conference on Communications and Multimedia Security 5th, 2001, pp. 197-211	

OFFICE ACTION / NOTICE OF ALLOWANCE DOCUMENTS

Examiner Initials*	Cite No.	Application Number	Mail Date	Document
	174	10/219,898	17-Nov-2003	Office Action
	175	10/219,898	04/21/204	Office Action
	176	10/219,898	6-Oct-2004	Office Action
	177	10/219,898	26-Oct-2006	Office Action
	178	10/219,898	26-Mar-2007	Office Action
	179	10/219,898	15-Aug-2007	Office Action
	180	10/693,290	22-Mar-2007	Office Action
	181	10/693,290	20-Sep-2007	Office Action
	182	10/693,290	28-Mar-2008	Office Action
	183	10/693,290	15-Oct-2008	Notice of Allowance
	184	09/983,555	7-Jan-2005	Office Action
	185	09/983,555	7-Jul-2005	Office Action
	186	09/983,555	23-Mar-2006	Office Action

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

187	09/983,555	11-Sep-2006	Office Action
188	09/993,656	21-Sep-2004	Office Action
189	09/993,656	23-Dec-2004	Office Action
190	09/993,656	29-Nov-2005	Office Action
191	09/993,656	25-May-2007	Notice of Allowance
192	09/983,539	30-Dec-2004	Office Action
193	09/983,539	17-Jun-2005	Office Action
194	09/983,539	18-Jan-2006	Office Action
195	09/983,539	8-May-2006	Notice of Allowance
196	11/422,106	26-Jan-2009	Office Action
197	11/422,106	10-Aug-2009	Notice of Allowance
198	11/422,106	27-Oct-2009	Notice of Allowance
199	11/254,264	23-Mar-2006	Office Action
200	11/254,264	11-Aug-2006	Office Action
201	11/254,264	7-Mar-2007	Office Action
202	11/254,264	7-Aug-2007	Office Action
203	11/254,264	29-May-2008	Office Action
204	11/254,264	17-Nov-2008	Office Action
205	11/254,264	9-Jul-2009	Office Action
206	11/254,545	28-May-2008	Office Action
207	11/254,545	26-Nov-2008	Office Action
208	11/254,545	8-Jul-2009	Office Action
209	11/254,539	28-May-2008	Office Action
210	11/254,539	28-Nov-2008	Office Action
211	11/254,539	9-Jul-2009	Office Action
212	11/254,519	7-Mar-2006	Office Action
213	11/254,519	11-Aug-2006	Office Action
214	10/007,060	5-Nov-2004	Office Action
215	10/007,060	11-May-2005	Office Action
216	10/007,060	27-Jun-2005	Notice of Allowance
217	10/999,837	20-Jul-2005	Office Action

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmchr
Attorney Docket Number	13768.1074

218	10/999,837	21-Dec-2005	Office Action
219	10/999,837	25-May-2006	Office Action
220	10/999,837	18-Sep-2006	Notice of Allowance
221	10/270,442	23-Feb-2006	Office Action
222	10/270,442	3-Aug-2006	Office Action
223	10/270,442	24-Jan-2007	Office Action
224	10/270,442	8-Aug-2007	Office Action
225	10/270,442	25-Jan-2008	Office Action
226	10/270,442	15-Sep-2008	Office Action
227	10/270,442	26-Feb-2009	Office Action
228	10/270,442	1-Sep-2006	Notice of Allowance
229	11/207,034	21-Mar-2007	Office Action
230	11/207,034	4-Oct-2007	Office Action
231	11/207,034	28-May-2008	Office Action
232	11/207,034	5-Dec-2008	Office Action
233	11/207,034	11-May-2009	Office Action
234	10/270,441	28-Jun-2005	Office Action
235	10/270,441	6-Feb-2006	Office Action
236	10/270,441	12-Jun-2006	Office Action
237	10/270,441	21-Nov-2006	Office Action
238	10/270,441	13-Jun-2007	Office Action
239	10/270,441	13-Mar-2008	Office Action
240	10/270,441	17-Mar-2009	Office Action
241	10/270,442	3-Dec-2009	Notice of Allowance
242	11/254,545	10-Dec-2009	Office Action
243	11/254,539	10-Dec-2009	Office Action
244	10/270,441	7-Jan-2010	Office Action
245	11/254,264	26-Jan-2010	Notice of Allowance
246	11/254,539	27-Jan-2010	Notice of Allowance
247	11/254,545	29-Jan-2010	Notice of Allowance
248	11/207,034	4-Feb-2010	Notice of Allowance

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

249	11/207,034	12-Mar-2010	Notice of Allowance
250	11/838,161	16-Mar-2010	Office Action
251	10/270,441	23-Jun-2010	Office Action
252	11/838,161	24-Nov-2010	Notice of Allowance
253	10/270,441	10-Jan-2011	Office Action
254	10/270,441	16-May-2011	Notice of Allowance

EXAMINER SIGNATURE

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/068,444
Filing Date	02-06-2002
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2434
Examiner Name	Farid Homayounmehr
Attorney Docket Number	13768.1074

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.


☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

☒ The Commissioner is hereby authorized to charge payment of any of the following fees that may be applicable to this communication, or credit any overpayment, to Deposit Account No. 23-3178: (1) any filing fees required under 37 CFR § 1.16; and/or (2) any patent application and reexamination processing fees under 37 CFR § 1.17.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date	8/22/11
Name/Print	Jens C. Jenkins	Registration Number	44,803

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.